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# **Grain-energy release governs mobility of debris flow due to solid-liquid mass release**

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## ABSTRACT

Debris flows often exhibit high mobility, leading to extensive hazards far from their sources. Although it is known that debris flow mobility increases with initial volume, the underlying mechanism remains uncertain. Here, we reconstruct the mobility-volume relation for debris flows using a recent depth-averaged two-phase flow model without evoking reduced friction coefficient, challenging currently prevailing friction-reduction hypotheses. Physical experimental debris flows driven by solid-liquid mass release and extended numerical cases at both laboratory and field scales are resolved by the model. For the first time, we probe into the energetics of the debris flows and find that, whilst the energy balance holds and fine and coarse grains play distinct roles in debris flow energetics, the grains as a whole release energy to the liquid due to inter-phase and inter-grain size interactions, and this grain-energy release correlates closely with mobility. Despite uncertainty arising from the model closures, our results provide insight into the fundamental mechanisms operating in debris flows. We propose that debris flow mobility is governed by grain-energy release, thereby facilitating a bridge between mobility and internal energy transfer. Initial volume of debris flow is inadequate for characterizing debris flow mobility, and a friction-reduction mechanism is not a prerequisite for the high mobility of debris flows. By contrast, inter-phase and inter-grain size interactions play primary roles and should be incorporated explicitly in debris flow models. Our findings are qualitatively encouraging and physically meaningful, providing implications not only for assessing future debris flow hazards and informing mitigation and adaptation strategies, but also for unravelling a spectrum of earth surface processes including heavily sediment-laden floods, subaqueous debris flows and turbidity currents in rivers, reservoirs, estuaries and ocean.

**KEYWORDS:** debris flows; solid-liquid mass release; high mobility; mobility-volume relation; energy transfer; grain-energy release

## 1 INTRODUCTION

Debris flows form when masses of poorly sorted sediments, agitated and saturated by water, surge down steep slopes in response to gravitational effects, and can grow dramatically in speed and size by entraining materials from beds and banks (Iverson, 1997). The severity of these hazards is largely dependent on the speed and travel distance, which are collectively described as “mobility” (Iverson et al., 2015). Owing to their destructive power, debris flows can produce significant natural hazards. Often, debris flows generated by solid-liquid mass releases exhibit exceptionally high mobility leading to catastrophic disasters extending far beyond the source zone (Iverson, 1997; Legros, 2002; Rickenmann, 2005; Lucas, Mangeney, & Ampuero, 2014; Gregoretti, Degetto, Bernard, & Boreggio, 2018; Chen, Liu, Wang, Zao, & Zhou, 2019). Field observations and experimental measurements indicate that debris flow mobility increases with initial volume (Iverson, 1997; Rickenmann, 2005), and is further enhanced by bed erosion, water content, and grain-size heterogeneity (Iverson, 1997; Legros, 2002; Rickenmann, 2005). Several empirical relationships have been proposed to estimate debris flow mobility on the basis of initial volume alone (e.g., Corominas, 1996; Rickmann, 1999, 2005). Field data also reveal that for a given volume, debris flows, as typical liquid-solid two-phase flows, exhibit much higher efficiency than avalanches and rock falls (Hayashi & Self, 1992; Iverson, 1997; Vallance & Scott, 1997; Legros, 2002), which behave physically as single-phase granular flows. Usually, the mobility of debris flow is characterized by the horizontal run-out distance  $L$  or efficiency  $e$  ( $=L/H$  where  $H$  is the vertical fall height) (Iverson, 1997; Legros, 2002; Lucas et al., 2014; Rickenmann, 2005). In particular, for extremely large volume events, the efficiency of non-channelized natural debris flow

can reach up to 25 (Iverson, 1997). Debris flows can also be generated by run-off (e.g., Kean, McCoy, Tucker, Staley, & Coe, 2013; Hürlimann, Abanco, Moya, & Vilajosana, 2014; Ma, Deng, & Wang, 2018), in which case mobility is mainly controlled by the triggering discharge (Lanzoni, Gregoretti, & Stancanelli, 2017). The present study focuses on debris flow due to solid-liquid mass release.

However, the mechanisms underlying the high mobility of debris flows due to solid-liquid mass release remain poorly understood (Iverson, 1997; Lucas et al., 2014). Many fundamentally distinct friction-reduction hypotheses have been proposed to explain the high mobility of general geophysical mass flows (e.g., avalanches, rock falls and debris flows), including those based on velocity-dependent friction weakening (Lucas et al., 2014), fluidization by water (Legros, 2002; Pudasaini & Miller, 2013), entrainment (Hungr & Evans, 2004; Mangeney, Tsimring, Volfson, Aranson, & Bouchut, 2007; Lube et al., 2012), pore fluid pressure (Iverson et al., 2011; Iverson et al., 2015), grain-size distribution (de Haas, Braat, Leuven, Lokhorst, & Kleinhans, 2015; Kaitna, Palucis, Yohannes, Hill, & Dietrich, 2016), grain segregation-induced momentum advection (Johnson et al., 2012) or friction decrease (Linares-Guerrero, Goujon, & Zenit, 2007), flash friction heating (Goren & Aharonov, 2007; Singer, McKinnon, Schenk, & Moore, 2012; Wang, Dong, & Cheng, 2017), dynamic fragmentation (Perinotto et al., 2015), acoustic fluidization (Johnson et al., 2016), and an air cushion trapped underneath a moving mass (Shreve, 1968). Although certain mechanisms may be appropriate for particular site-specific events, none of these hypotheses provides a universal explanation for the high mobility of debris flows (Lucas et al., 2014; Iverson, 2016), which essentially incorporate diverse complicated physical processes (Lucas et al., 2014), including inter-phase interactions between water and sediments, multiple grain sizes, and substantial mass

exchange with the bed. Furthermore, the relation between mobility and initial volume cannot be properly reconstructed without using reduced friction coefficients (Lucas et al., 2014; Johnson et al., 2016) with much lower values than generally accepted for geological materials (Singer et al., 2012). Actually, most friction-reduction hypotheses are necessarily rooted in conjecture rather than fact (Iverson, 2016) because hardly any experimental evidence is available for validation purposes (Utili, Zhao, & Houlby, 2015; Iverson, 2016). Also, none of these hypotheses is able to fully resolve debris flow dynamics because of the underlying assumptions concerning single-phase dry granular flow without water (Shreve, 1968; Hungr and Evans, 2004; Linares-Guerrero et al., 2007; Mangeney et al., 2007; Lucas et al., 2014; Johnson et al., 2016), single (uniform) grain size (Shreve, 1968; Hungr & Evans, 2004; Mangeney et al., 2007; Goren et al., 2007; Lucas et al., 2014; Johnson et al., 2016), and negligible mass exchange with the bed (Shreve, 1968; Goren et al., 2007; Lucas et al., 2014; Johnson et al., 2016).

Computational modelling holds great promise for resolving the mechanisms behind the high mobility of debris flows. The past several decades have witnessed the development and application of many numerical models of debris flows, the majority being based on depth-averaged single-phase flow formulations (e.g., Takahashi, Nakagawa, Harada, & Yamashiki, 1992; Iverson, 1997; McDougall & Hungr, 2005; Medina, Hürlimann, & Bateman, 2008; Armanini, 2009; Rosatti & Begnudelli, 2013; Iverson & George, 2014; Lucas et al., 2014; Frank, Mcardell, Huggel, & Vieli, 2015; Cuomo, Pastor, Capobianco, & Cascini, 2016; Xia, Li, Cao, Liu, & Hu, 2018; Federico & Cesali, 2019; Gregoretti et al., 2019). Notably, a single-phase flow model based on energy conservation was proposed by Wang, Morgenstern, & Chan (2010). In general however, only the velocity of water-sediment mixture is solved in these

models, and the relative motions and interactions between the water and sediment phases are not explicitly incorporated, even though both are primary features of debris flows (e.g., Iverson, 1997; Pudasaini, 2012). In this connection, two-phase flow theory is certainly the way forward (Armanini, 2013), whereby water and sediment phases are separately resolved according to their respective mass and momentum conservation laws. Indeed, depth-averaged two-phase flow models are not new in debris flow modelling (e.g., Pitman & Le, 2005; Pelanti, Bouchut, & Mangeney, 2008; Pailha & Pouliquen, 2009; Pudasaini, 2012; Kowalski & McElwaine, 2013; Bouchut, Fernandez-Nieto, Mangeney, & Narbona-Reina, 2015). However, previous two-phase flow models have suffered from several major shortcomings. First, they are confined to single-sized sediment transport. In practice, sediments in debris flows may be heterogeneous with widely distributed sizes, ranging from clay (particle diameter  $\approx 10^{-5}$  m) to boulders (particle diameter  $\approx 10^1$  m) (Iverson, 1997). Grain size data reveal the oversimplification of debris flow models that presume the sediment mixture comprises particles of a single grain size, and they also reinforce the notion that multiple grain sizes may be critical to debris flow dynamics (Iverson, 1997). Second, existing depth-averaged two-phase flow models have exclusively ignored mass exchange between the flow and the bed, a vital physical aspect of debris flows. Inevitably, they are restricted to modeling debris flows over fixed beds. Third, existing two-phase flow models have generally neglected the effects of liquid and solid fluctuations. Notably, inclusion of stresses due to liquid and solid fluctuations has been demonstrated to be important in reproducing debris flow kinetics (Li, Cao, Hu, Pender, & Liu, 2018b).

Here, we apply a recently developed numerical depth-averaged two-phase flow model (Li, Cao, Hu, Pender, & Liu, 2018a) to reproduce the full sets of USGS

experimental debris flows reported by Iverson et al. (2011) and then resolve a spectrum of laboratory- and field-scale numerical cases designed according to the USGS experiments. Unlike previous numerical models based on reduced friction coefficients (Lucas et al., 2014), the friction coefficients used here have values within the conventional ranges. We then probe into the energetics of debris flows by evaluating the energy components and energy changes of both the liquid and solid phases for all the aforementioned experimental and numerical cases. Energy transfer within debris flow is linked with its mobility. This, the first work of its kind, is certainly warranted given that debris flow mobility has perplexed scientists for decades.

The present work aims to enhance the understanding of debris flow mobility based on numerical solutions from a two-phase flow model (Li et al., 2018a). The model has incorporated as much physics as possible to expand capability and minimize uncertainty, and has been validated against all available observed data from USGS experiments (Iverson, Logan, LaHusen, & Berti, 2010; Iverson et al., 2011). In particular, it features a physical step forward in debris flow modelling by incorporating inter-phase and inter-grain size interactions, multiple grain sizes, mass exchange with the bed and strong liquid and solid fluctuations. Yet, like other numerical models for general earth surface flows, a set of relationships has to be introduced to close the model, and quantitatively some degree of uncertainty is inevitable. In particular, the closure models for inter-grain size interaction, liquid and solid fluctuations, and mass exchange with the bed are tentatively employed for modelling debris flow, given that no generally valid closure models have been forthcoming to date. Although the closure models remain imperfect, the modelling results provide some insight into the fundamental mechanisms operating in debris flows.



## 2 METHODS

### 2.1 Case descriptions

#### 2.1.1 USGS debris flow experiments

A series of laboratory-scale experiments was conducted at the USGS debris-flow flume (Iverson, 1997; Iverson et al., 2011). The experiments involved unsteady, non-uniform debris flows from initiation to deposition. The USGS debris-flow flume comprised a straight rectangular concrete channel, 95 m long, 2 m wide, and 1.2 m deep (Figure 1), connected to an adjacent runout pad. A 2 m high vertical headgate was used to retain static debris prior to its release. For  $0 \leq x \leq 74$  m, the flume bed had uniform slope,  $\theta = 31^\circ$ , whereas for  $x > 74$  m, the bed slope tended towards horizontal. Approximately  $6 \text{ m}^3$  of a water-saturated sediment mixture called SGM, of porosity  $p = 0.49$  (corresponding to water content  $\theta_f = p = 0.49$ ), and composed of about 53% gravel, 37% sand, and 7% mud-sized grains with standard deviation  $\sigma = 8.87$ , was released abruptly from a headgate and propagated downslope. Table S1 lists the detailed sediment composition of SGM. Here two typical experimental cases are revisited. For the erodible-bed experiment (labelled “EXP-E”), bed sediment of unsaturated SGM with water content  $\theta_f = 0.28$ , volume  $10.9 \text{ m}^3$ , thickness  $\sim 12$  cm initially covered the uniformly sloping ramp from  $x = 6$  m to 53 m. For the fixed-bed experiment (labelled “EXP-F”), the debris flow was released in the absence of bed sediment. Table S2 in Supporting Information lists details of the experimental cases.

**FIGURE 1** Flume geometry for USGS debris flow experiments [from Iverson et al. (2011)].

### 2.1.2 Laboratory-scale numerical cases

Using numerical simulation, we extend the parameter ranges covered in the USGS experiments to investigate the influence of initial debris flow volume. Also, the effects of bed erosion, water content, and grain-size heterogeneity are investigated (Table S3). Furthermore, a similar channel with the same length  $L_0$  as that used in USGS experiments but different sloping angle ( $\theta = 40^\circ$ ) is used (Figure 2a). We classify the case studies into fixed-bed and erodible-bed studies; therefore, laboratory-scale numerical cases are labelled “FBS” and “EBS”. Briefly, the initial volume of the released debris flow, which is composed of a water-saturated sediment mixture SGM, ranges from  $1 \text{ m}^3$  to  $1600 \text{ m}^3$  in order to investigate the volume effect. Then, for each debris flow (volume varying from  $6 \text{ m}^3$  to  $1600 \text{ m}^3$ ), the bed sediment, which is the same as that used in USGS experiment, is placed on the sloping ramp to study the effect of bed erosion (i.e., EBS cases). To investigate the effect of water content, the initial water content  $\theta_f$  of the released debris flow is reduced from 0.49 to 0.3 or 0.1, and to address the effect of heterogeneity, the grain-size heterogeneity is adjusted by altering the standard deviation of sediment composition (i.e.,  $\sigma$  was set to 13.17 or 4.25), while retaining the same median size  $d_{50}$  ( $= 3.22 \text{ mm}$ , the particle size at which

50% of the sediments are finer). Except for the initial values of flow thickness, water content and sediment composition of the released debris flow, and bed elevation (see Table S3 in Supporting Information), all other parameters are kept the same as in the experiments.

### 2.1.3 Field-scale numerical cases

The field-scale numerical case studies are qualitatively similar to the laboratory-scale cases described above. The computational domain has an upstream ramp of uniform inclination angle of  $\theta = 31^\circ$  or  $40^\circ$ , length  $L_0$  and height  $H_0$ , which joins (at its downstream end) a horizontal runout pad (Figure 2b). For intermediate field-scale cases (labelled “FBM” and “EBM”), the length  $L_0$  and width  $B$  of the sloping channel are respectively 400 m and 20 m, whereas for large field-scale cases (labelled “FBL” and “EBL”), the corresponding length  $L_0$  and width  $B$  are 1600 m and 50 m, respectively. First, the effect of initial debris flow volume is investigated. For the intermediate field-scale cases, the initial volume of debris flow ranges from 30 m<sup>3</sup> to  $1.2 \times 10^7$  m<sup>3</sup>, whereas for the large field-scale cases, the initial volume varies from 1000 m<sup>3</sup> to  $10^9$  m<sup>3</sup>. The released debris flow is composed of a water-saturated sediment mixture SGM (i.e.,  $\theta_f = 0.49$  and  $\sigma = 8.87$ ), which is the same as in the USGS experiments. Then the effects of bed erosion, water content, and grain-size heterogeneity are studied. In particular, to investigate the effect of bed erosion, for EBM cases, the unsaturated bed sediment SGM ( $\theta_f = 0.28$ ) of volume  $V_b = 1500$  m<sup>3</sup> covers the sloping ramp, whereas for EBL cases, that of volume  $V_b = 10^5$  m<sup>3</sup> is placed on the sloping ramp. To address the respective effects of water content and

grain-size heterogeneity, for both FBM and FBL cases, we consider reduced water content (i.e.,  $\theta_f = 0.3$  or  $0.1$ ) and adjusted sediment composition (i.e.,  $\sigma = 13.17$  or  $4.25$  with  $d_{50} = 3.22$  mm) of the released debris flow, following the FBS cases. Details are summarized in Tables S4 and S5 in Supporting Information.

**FIGURE 2** Flume geometry used in (a) laboratory-scale numerical case studies (adapted from Iverson et al., 2011); (b) field-scale numerical case studies. The topography has an upstream ramp of uniform inclination angle  $\theta$ , length  $L_0$  and height  $H_0$ , followed by a horizontal runout pad at the downstream end.

## 2.2 Modelling methods

A depth-averaged two-phase flow model (Li et al., 2018a) is used to resolve the spatial and temporal evolution of debris flow, from initiation to final stoppage. The model is based on a previous fixed-bed model (Li, et al., 2018b), extended to erodible bed flows. On the basis of the numerical solutions, debris flow mobility and energy components can be readily determined. The present model is constructed according to continuum mechanics principles, in which inter-phase interaction is explicitly taken into account, unlike single-phase flow models (e.g., Takahashi et al., 1992; Iverson, 1997; McDougall & Hungr, 2005; Medina et al., 2008; Armanini, 2009; Rosatti & Begnudelli, 2013; Iverson & George, 2014; Lucas et al., 2014; Frank et al., 2015; Cuomo et al., 2016; Xia et al., 2018; Federico & Cesali, 2019; Gregoret et al., 2019).

Unlike existing two-phase flow models (Pitman & Le, 2005; Pelanti et al., 2008; Pailha & Pouliquen, 2009; Pudasaini, 2012; Kowalski & McElwaine, 2013; Bouchut et al., 2015), the present model incorporates multiple grain sizes (noting the typically broad distribution of grain size, which directly affects debris flow mobility (Johnson et al., 2012; de Haas et al., 2015; Kaitna et al., 2016)), mass exchange with the bed (that may affect mobility (Iverson, 1997; Hungr & Evans, 2004; Mangeney et al., 2007; Iverson et al., 2011; Lube et al., 2012)), and stresses due to strong liquid and solid fluctuations. The present model along with the governing equations are briefly described in Text S1 in Supporting Information.

A set of relationships is introduced to close the model, as is common with all such models in earth science. Although all the closure relations used in the two-phase flow model of Li et al. (2018a) were previously established for shallow water hydro-sediment-morphodynamics, some of them are also tentatively applied in debris flow modelling, and are inevitably empirical to some extent. We use the Coulomb friction law and Manning's equation to determine the bed shear stresses for solid and liquid phases respectively (Iverson, 1997; Pudasaini, 2012; Iverson & George, 2014). In practice, the Coulomb friction law is usually applied to friction-dominated debris flows. When debris flows are composed of coarse grains, they are mainly affected by a collisional, or a coupled frictional and collisional, regime (Lanzoni et al., 2017), for which a constitutive equation accounting for both the frictional and collisional stresses is warranted. Inter-phase interaction is modelled by means of the Gidaspow drag correlation (Gidaspow, 1994), which combines the Ergun equation for dense

water-sediment mixtures and a power law for dilute suspensions. Inter-grain size interaction is based on linear velocity-dependent drag, grain-grain surface interaction, and remixing force components (Gray & Chugunov, 2006). To date, there have been hardly any studies on inter-grain size interaction in debris flows. Thus, a closure relationship derived for a simple binary mixture (Gray & Chugunov, 2006) is tentatively used for debris flows (which are nevertheless composed of more broadly distributed grain sizes). Debris flows are characterized by strong fluctuations in liquid and solid motions (Iverson et al., 1997). However, generally valid closure models remain unavailable. By analogy to turbulent motion, the stress arising from liquid fluctuation is approximated by a conventional turbulent kinetic energy – dissipation rate ( $k - \varepsilon$ ) model (Rodi, 1993) originally developed for the flow of pure fluid without sediment. The stress due to solid fluctuation is determined by a first-order model based on the kinetic theory of granular flows under dilute flow conditions (Jenkins & Richman, 1985). Wu's formula (Wu, 2007) is used to estimate the sediment transport rate of each size fraction. An active layer formulation (Hirano, 1971) represents stratigraphic evolution of the bed. A plethora of closure relations has been proposed to estimate mass exchange with the bed induced by geophysical mass flows (see e.g. Pitman et al., 2003; McDougall & Hungr, 2005; Medina et al., 2008; Iverson, 2012; Pirulli & Pastor, 2012). Unfortunately, these relations suffer from shortcomings because understanding of the underlying physical processes remains far from clear (as discussed by e.g. Hungr & Evans, 2004; Iverson, 2012). Critically, most relations do not consider the effect of particle size, which is questionable from a physical

perspective because fine grains are easier to erode than large blocks (Pirulli & Pastor, 2012). Given the fact that no generally valid mass exchange relations are available for erodible-bed debris flows, Li et al. (2018a) tentatively employed the closure model widely used in fluvial hydraulics to estimate mass exchange between the debris flow and the bed. This closure model has previously been found to perform significantly better than an alternative analytical relation (Medina et al., 2008).

The governing equations are numerically solved using an adapted version of a well-balanced numerical algorithm (Cao, P. Hu, K. Hu, Pender, & Liu, 2015a). The computational domain consists of a uniformly sloping ramp and adjacent (channelized) horizontal runout pad of unlimited length (Figures 1 and 2). For USGS debris flow experiments and laboratory-scale numerical cases, the spatial step  $\Delta x = 0.1$  m, whereas for field-scale numerical cases,  $\Delta x = 0.4$  m. Numerical simulation is performed until the debris flow stops, at which time the run-out distance is evaluated. Initial values of flow thickness, volumetric sediment concentration, and bed elevation are case specific (see Tables S3-S5 in Supporting Information). The initial velocity, fluctuation kinetic energy, and dissipation rate are set to zero. Both the upstream and downstream boundary conditions are prescribed constant because the channel is sufficiently long to ensure that forward and backward waves of the debris flow do not reach either end boundary during the simulation.

Li et al. (2018a) provide a detailed description of the depth-averaged two-phase flow model equations along with model closure and the numerical algorithm. The model incorporates the leading-order physical factors in the mass and momentum

conservation equations, such as gravitation, resistance, inter-phase and inter-grain size interactions. Importantly, for the first time, this model performs well when tested against the full sets of USGS experimental debris flows over fixed-beds (Li et al., 2018b) and erodible-beds (Li et al., 2018a), and is able to resolve fundamental mechanisms in debris flows (e.g., significant effects of multiple grain sizes, bed erosion and initial water content) that have been found by observed field data (Iverson, 1997). It is nevertheless appreciated that more delicate and refined mechanisms may exist in debris flows, which, if incorporated, could modify the modelling results (e.g., collisional solid stress (Lanzoni et al., 2017) and non-Newtonian liquid viscous stress (Pudasaini, 2012)). However, these are most likely to be second- and higher-order factors; it is our intention to incorporate these in a future version of the model.

Note that compared with the friction coefficient values previously used (Li et al., 2018a), the values adopted in the present study have been slightly adjusted within the conventional range to reduce the residual bulk energy of debris flow to a minimum, while ensuring the computed kinetic variables (e.g., velocity, thickness, bed deformation, sediment concentration) match measured data (Iverson et al., 2011). Briefly, the Manning roughness has been tuned by 5.7%, increasing from 0.028 to 0.0296 s.m<sup>-1/3</sup>, and the solid friction coefficient has been tuned by 7.7%, reducing from 0.839 to 0.774. In relation to Cases EXP-F and EXP-E, Figures S1 and S2 show time series of front locations and flow surface elevations above the bed predicted by the present two-phase flow equation (TPE) model using previous (Li et al., 2018a) and adjusted friction coefficients, along with measured data (Iverson et al., 2011). For



Case EXP-E, Figure S3 compares the measured bed elevation time histories with predictions by the TPE model, utilizing previous values of friction coefficient (Li et al., 2018a) and adjusted friction coefficients. As can be seen from Figures S1-S3, the computed results by the TPE model with adjusted friction coefficients agree rather well with measured data and predictions by TPE model with previous friction coefficients (Li et al., 2018a).

## 2.3 Energy calculation

We calculate the energy components from initiation to stoppage based on physical variables (e.g. bed elevation, flow depth, flow velocity, volumetric concentration, fluctuation kinetic energy, and dissipation rates of the liquid and solid phases) resolved using the depth-averaged two-phase flow model (Li et al., 2018a) described above. Kinetic energy ( $E_K$ ), fluctuation kinetic energy ( $E_{TK}$ ), gravitational potential energy ( $E_G$ ), and potential energy due to sediment exchange with the bed ( $E_{Gb}$ ) are evaluated by trapezoidal integration of local variables over space at a specific time. Energy dissipation due to bed resistance ( $E_R$ ) and fluctuation motions ( $E_D$ ) and the work done by inter-phase ( $E_{fs}$ ) and inter-grain size interaction forces ( $E_{ss}$ ) are calculated by integrating variables in both space and time, again using the trapezoidal rule. Details of the energy calculation methods are described as follows.

### 2.3.1 Gravitational potential energy

The gravitational potential energy of the solid phase in a debris flow system,  $E_{Gs}$ , at any time  $t$  is

$$E_{Gs}(t) = \int [\sum_{k=1}^N \rho_s h_i C_{ki} g H_i B_i] \Delta x \quad (1)$$

where  $\Delta x$  is the length of the control volume (Figure 3); subscript  $i$  denotes the control volume index; subscript  $k$  denotes the  $k$ -th sediment size within  $N$  size classes; subscript  $s$  represents the solid phase;  $g$  is gravitational acceleration;  $h_i$  is debris flow depth of the  $i$ -th control volume;  $C_{ki}$  is depth-averaged size-specific volumetric sediment concentration of the  $i$ -th control volume;  $\rho_s$  is density of the solid phase;  $B_i$  is width of the  $i$ -th control volume;  $H_i$  is vertical distance between the mass center of debris flow of the  $i$ -th control volume and the datum level (Figure 3) set at the horizontal elevation of the run-out pad.  $H_i$  is calculated from

$$H_i = (h_i/2 + z_{bi}(t)) \cos \theta + (x_d - x_i) \sin \theta \quad (2)$$

where  $x_d$  is distance from the mass release point along the channel to the point where the flow reaches the horizontal reference datum;  $\theta$  is the bed slope angle.

The gravitational potential energy of the liquid phase in the debris flow system,  $E_{Gf}$ , at any time  $t$  is

$$E_{Gf}(t) = \int [\rho_f h_i C_{fi} g H_i B_i] \Delta x \quad (3)$$

where subscript  $f$  represents the liquid phase; and  $C_{fi}$  is the depth-averaged volume fraction of the liquid phase of the  $i$ -th control volume.

**FIGURE 3** Sketch of control volume used for energy calculation.  $H_i$  is vertical distance between the mass center of debris flow of the  $i$ -th control volume and the datum level, and is accordingly defined by Eq. (2).

397

### 398 **2.3.2 Kinetic energy**

399 The kinetic energy of the solid phase of the debris flow system,  $E_{Ks}$ , is calculated as

$$400 \quad E_{Ks}(t) = \int \left[ \sum_{k=1}^N \left( \frac{1}{2} \rho_s h_i C_{ki} U_{ski}^2 B_i \right) \right] \Delta x \quad (4)$$

401 where  $U_{ski}$  is the size-specific depth-averaged velocity of the solid phase in the  $x$  –  
 402 direction of the  $i$  – th control volume. Likewise, the kinetic energy of the liquid phase  
 403 of the debris flow system,  $E_{Kf}$ , at any time is defined as

$$404 \quad E_{Kf}(t) = \int \left[ \frac{1}{2} \rho_f h_i C_{fi} U_{fi}^2 B_i \right] \Delta x \quad (5)$$

405 where  $U_{fi}$  is the depth-averaged velocity of liquid phase in the  $x$  – direction of the  
 406  $i$  – th control volume.

407

### 408 **2.3.3 Fluctuation kinetic energy**

409 Kinetic energy due to fluctuations of solid motions in the debris flow system is  
 410 calculated by

$$411 \quad E_{TKs}(t) = \int \left[ \sum_{k=1}^N (\rho_s h_i C_{ki} TK_{ski} B_i) \right] \Delta x \quad (6)$$

412 where  $TK_{ski}$  is the size-specific depth-averaged fluctuation kinetic energy of the solid  
 413 phase of the  $i$  – th control volume. The fluctuation kinetic energy of the liquid phase in  
 414 the debris flow system is determined by

$$E_{TK_f}(t) = \int [\rho_f h_i C_{fi} TK_{fi} B_i] \Delta x \quad (7)$$

where  $TK_{fi}$  is the depth-averaged fluctuation kinetic energy of the liquid phase of the  $i$ -th control volume.

### 2.3.4 Potential energy due to sediment exchange with the bed

In general, two distinct mechanisms are involved in sediment exchange with the bed: sediment entrainment due to inter-phase and inter-grain size interactions; and sediment deposition resulting primarily from gravitational action. Physically, eroded bed sediments can increase the potential energy of debris flow which may be converted into kinetic energy downslope, and *vice versa*. Similar to the calculation of the potential energy of debris flow, the potential energy due to sediment exchange with the bed is

$$E_{Gb}(t) = \int [\rho_0 h_{bi} g H_{bi} B_i] \Delta x \quad (8)$$

where subscript  $b$  refers to bed material;  $\rho_0 = \rho_f \theta_f + \rho_s(1-p)$  is the bed density,  $p$  is bed sediment porosity,  $\theta_f$  is water content of the bed (normally  $\theta_f \leq p$ ),  $h_{bi} = z_{bi}(t=0) - z_{bi}(t)$  is bed deformation depth; and  $z_{bi}$  is bed elevation of the  $i$ -th control volume.  $H_{bi}$  is the vertical distance between the mass center of the  $i$ -th control volume for bed deformation and the datum level, and is accordingly defined as follows (Figure S4)

$$H_{bi} = (h_{bi}/2 + z_{bi}(t)) \cos \theta + (x_d - x_i) \sin \theta \quad (9)$$

### 2.3.5 Energy dissipation due to bed resistance and fluctuation motions

During a time interval  $\Delta t$ , the liquid phase and size-specific solid phase travel distances  $U_{fi}\Delta t$  and  $U_{ski}\Delta t$  over the bed, and so the energy loss due to bed resistance in a unit volume during a time interval is defined as

$$E_{Ri,\Delta t} = \tau_{fbi} U_{fi} B_i \Delta x \Delta t + \sum_{k=1}^N \tau_{skbi} U_{ski} B_i \Delta x \Delta t \quad (10)$$

where  $\tau_{fbi}$  and  $\tau_{skbi}$  are bed shear stresses for the liquid and size-specific solid phases of the  $i$ -th control volume. Therefore, the time-dependent energy loss of the debris flow system, induced by bed resistance, is

$$E_R(t) = \iint [\tau_{fbi} U_{fi} B_i + \sum_{k=1}^N \tau_{skbi} U_{ski} B_i] \Delta x \Delta t \quad (11)$$

Likewise, the energy dissipation due to fluctuations is

$$E_D(t) = \iint [\rho_f h_i C_{fi} \varepsilon_{fi} B_i + \sum_{k=1}^N \rho_s h_i C_{ski} \varepsilon_{ski} B_i] \Delta x \Delta t \quad (12)$$

where  $\varepsilon_{fi}$  and  $\varepsilon_{ski}$  are depth-averaged dissipation rates for the liquid and solid phases, respectively.

### 2.3.6 Work done by inter-phase and inter-grain size interactions

The work done by the interaction force can be computed in a similar way to the energy loss induced by bed resistance. For size-specific solid grains, the interaction forces of the  $i$ -th control volume include a size-specific depth-averaged interphase interaction force component  $F_{fski}$  for the solid phase and a size-specific

depth-averaged inter-grain size interaction force component  $F_{s-s_k i}$ , exerted on the  $k$ -th solid phase by the other solid-phase constituents, and which satisfies  $\sum (F_{s-s_k i}) = 0$ . Thus for the solid phase of the debris flow system, the work done by the inter-phase interaction force is

$$E_{fs} = \iint [\sum_{k=1}^N F_{fs_k i} U_{s_k i} B_i] \Delta x \Delta t \quad (13)$$

and the work done by the inter-grain size interaction force is

$$E_{ss} = \iint [\sum_{k=1}^N F_{s-s_k i} U_{s_k i} B_i] \Delta x \Delta t \quad (14)$$

For the liquid phase, the interaction force of the  $i$ -th control volume consists of the sum of interphase interaction forces,  $\sum F_{s_k f i}$ . Accordingly, the work done by the interphase interaction force is

$$E_{sf} = \iint [\sum_{k=1}^N F_{s_k f i} U_{f i} B_i] \Delta x \Delta t \quad (15)$$

### 2.3.7 Energy change

The energy change in the debris flow relative to initial conditions is defined as

$$\Delta E = E_G + E_K + E_{TK} + E_R + E_D - E_{T0} - E_{Gb} \quad (16)$$

where  $E_{T0}$  denotes the initial energy of debris flow. Energy changes of the solid phase,  $\Delta E_s$ , the liquid phase,  $\Delta E_f$ , and the size-specific grains  $\Delta E_{s_k}$  are similarly defined.

### 3 RESULTS

#### 3.1 Debris flow mobility reconstructed without utilizing reduced friction coefficients

We reconstruct the relation between debris flow mobility and initial volume. In the experimental (Table S2) and numerical cases (Tables S3-S5), the volumes are based on distinct channel widths, in accordance with observed natural debris flows (Iverson, 1997). To eliminate potential discrepancy due to different channel widths, we define the non-dimensional initial volume  $\hat{V}_0$  as  $\hat{V}_0 = \bar{V}_0 / V_{ref}$ , where  $\bar{V}_0$  is the initial volume per unit width and  $V_{ref}$  is that of a reference case (i.e., Case EXP-F), i.e.,  $V_{ref} = 3 \text{ m}^2$ . Similarly, the non-dimensional run-out distance  $\hat{L}$  is defined as  $\hat{L} = L / L_{ref}$ , where  $L_{ref}$  is the run-out distance in Case EXP-F. Figure 4 shows the dependence of debris flow mobility, characterized by efficiency  $e$  (Figure 4a) and run-out distance  $\hat{L}$  (Figure 4b), on non-dimensional initial volume over a  $31^\circ$  sloping ramp. Figure S5 presents the corresponding results for a  $40^\circ$  sloping ramp. In agreement with observations (Iverson 1997; Lucas et al., 2014), the mobility computed using the two-phase flow model (Li et al., 2018a) described above increases progressively as initial volume increases. Obviously, a debris flow over a steep slope has higher mobility than its mild-slope counterpart when all other conditions remain the same (c.f. results Tables S3-S5). Bed erosion, water content, and grain-size heterogeneity also enhance debris flow mobility, echoing previous findings from field and experimental data (Iverson, 1997; Legros, 2002; Rickenmann, 2005). When the initial volume is sufficiently small, the efficiency remains constant because the debris flow would terminate on the sloping ramp before reaching the runout pad, and so  $e = \cot \theta = 1.664$ . Moreover, predictions from three typical empirical relationships (Corominas, 1996;

Rickmann, 1999; Lucas et al., 2014) are included for comparison, which are unable to resolve the effects of bed erosion, water content, and grain-size heterogeneity. It can be seen that the computed efficiency for fixed-bed debris flows agrees with the most recently derived empirical relationship, based on velocity-dependent friction weakening (Lucas et al., 2014) (Table S6). However, whilst Figure 4 and Figure S5 show a positive correlation between mobility (in terms of efficiency  $e$  and run-out distance  $\hat{L}$ ) and initial volume, the data fail to collapse on a single curve. Arguably, this is because the correlation between mobility and initial volume is purely geometrical, and does not contain any information relating to debris flow dynamics (Staron & Lajeunesse, 2007). In light of these results, it is suggested that initial volume alone is inadequate to characterize debris flow mobility.

**FIGURE 4.** Dependence of debris flow mobility on initial volume over a 31° sloping ramp. (a) Debris flow efficiency  $e$  against non-dimensional initial volume  $\hat{V}_0$ . Solid, dotted and dashed lines respectively present empirical results for laboratory-scale, intermediate and large field-scale cases. (b) Non-dimensional debris flow run-out distance  $\hat{L}$  against non-dimensional initial volume  $\hat{V}_0$ .

### 3.2 Debris flow energetics: Grain-energy release

We probe into the energetics of the USGS large-scale experimental debris flows (Iverson et al., 2011) by evaluating the evolution of energy components and energy changes per unit width for both fixed-bed Case EXP-F (Figure 5) and erodible bed Case EXP-E (Figure 6).

The energy is conserved from initiation to final stoppage, characterizing the energy balance, as illustrated by  $\Delta E \approx \Delta E_s + \Delta E_f \approx 0$  (Figures 5b and 6b). For the fixed-bed



case, Figure 5a, the gravitational potential energy  $E_G$  of both the liquid and solid phases decreases monotonically, being progressively transformed into kinetic energy ( $E_K$ ) and fluctuation energy ( $E_{TK}$ ), and dissipated by bed resistance ( $E_R$ ) and fluctuation motions ( $E_D$ ). For the erodible-bed case, Figure 6a shows that  $E_G$  initially decreases, then increases due to bed erosion, peaks and subsequently decreases as the debris flow peters out. Meanwhile,  $E_G$  and, where applicable, the potential energy of the eroded material  $E_{Gb}$ , are gradually converted into kinetic energy ( $E_K$ ) and fluctuation energy ( $E_{TK}$ ), and dissipated by bed resistance ( $E_R$ ) and fluctuation motions ( $E_D$ ), similar to the fixed-bed case. Note that  $E_{TK}$  is negligible, even though its effect on debris flow kinetics is discernible (Li et al., 2018a).

Most notably, we find that the grains as a whole release energy to the liquid phase at debris flow stoppage. For the liquid phase, the energy change  $\Delta E_f > 0$  at stoppage (i.e.,  $t = 40$  s), indicating that energy dissipated by bed resistance and fluctuation motions ( $E_{Rf} + E_{Df}$ ) exceeds the initial bulk energy ( $E_{T0f}$ ) (Figure 5b, for the fixed-bed case) and, where applicable, the potential energy of the eroded bed material ( $E_{Gb}$ ) (Figure 6b, for the erodible-bed case). For the solid phase, the reverse occurs as  $\Delta E_s < 0$ . Moreover, the magnitudes of  $\Delta E_s$  and  $\Delta E_f$  are comparable with the peak kinetic energy. Note that mass gain from bed erosion enhances energy transfer because the grain-energy release of the erodible-bed case at stoppage (Figure 6b) is considerably greater than its fixed-bed counterpart (Figure 5b).

Further, the energy change of the liquid phase  $\Delta E_f$  is approximately equal to the work done by solid-liquid interaction,  $E_{sf}$ , indicating that  $\Delta E_f$  arises from interaction with the solid phase. Concurrently, the energy change of the solid phase

$\Delta E_s$  is equal to the work done by liquid-solid interaction and interactions between different-sized grains, i.e.,  $E_{fs} + E_{ss}$ . Physically, the sum of interactive forces between the liquid and solid grains and between different-sized grains must vanish according to Newton's third law. However, the liquid and different-sized grains typically have distinct velocities and so their interactive forces generate energy transfer. Noting that previous studies reveal that water content and grain-size heterogeneity can enhance debris flow mobility (Iverson, 1997; Legros, 2002; Rickenmann, 2005), the present work suggests that it is the interactions between liquid and solid grains and between different-sized grains that enable the effects of water content and grain-size heterogeneity on debris flow mobility to be substantial.

Inter-phase energy transfer is a highly complex process. For the fixed-bed case (Figure 5b), the transfer process involves three stages. First,  $\Delta E_s$  increases and  $\Delta E_f$  decreases. Initially, the liquid moves freely and propagates faster downslope than the solid grains; hence the solid-liquid interactive force  $F_{sf} < 0$ , and accordingly  $E_{sf} < 0$ , leading to a decrease in  $\Delta E_f$ . The growth in  $\Delta E_s$  primarily arises from  $E_{fs}$ , which increases because the liquid-solid interactive force  $F_{fs} > 0$  while  $E_{ss}$  decreases with time. During the second stage, the energy changes of both phases exhibit reverse behavior, i.e.,  $\Delta E_s$  decreases and  $\Delta E_f$  increases. Due to energy gain during the first stage, the solid grains gradually move faster than the liquid phase. Consequently  $F_{sf} > 0$  and the liquid phase absorbs energy from the solid phase; meanwhile  $\Delta E_s$  reduces mainly due to inter-phase and inter-grain size interactions. Finally, when the debris flow gradually comes to rest, causing deposition on the runout pad, both  $\Delta E_f$  and  $\Delta E_s$  become steady. Comparatively, in the erodible-bed

case (Figure 6b), at the early stage,  $t < 0.6$  s, when the debris flow reaches the erodible bed but erosion has not yet commenced, the debris flow exhibits similar inter-phase energy transfer features to those observed during the first two stages of the fixed-bed debris flow (Figure 5b), i.e.,  $\Delta E_s$  increases initially and then decreases, whereas  $\Delta E_f$  undergoes the opposite behaviour. Subsequently, a new cycle of three-stage inter-phase energy transfer, similar to that in fixed-bed debris flow, is triggered by rapid bed erosion and proceeds until the debris flow comes to a halt.

**FIGURE 5** Evolution of energy components and energy changes of USGS experimental fixed-bed debris flows Case EXP-F (Iverson et al., 2011). (a) Evolution of energy components, including kinetic energy ( $E_K$ ), fluctuation kinetic energy ( $E_{TK}$ ), gravitational potential energy ( $E_G$ ), and energy dissipation due to bed resistance ( $E_R$ ) and fluctuation motions ( $E_D$ ) with the subscripts  $f$  and  $s$  denoting the liquid and solid phases, respectively. (b) Evolution of energy changes of the solid-liquid mixture ( $\Delta E$ ), solid phase ( $\Delta E_s$ ), and liquid phase ( $\Delta E_f$ ), and the work done by inter-phase ( $E_{fs}$  and  $E_{sf}$ ) and inter-grain size interaction forces ( $E_{ss}$ ).

**FIGURE 6** Evolution of energy components and energy changes of USGS experimental erodible-bed debris flows Case EXP-E (Iverson et al., 2011). (a) Evolution of energy components, including kinetic energy ( $E_K$ ), fluctuation kinetic energy ( $E_{TK}$ ), gravitational potential energy ( $E_G$ ), potential energy due to sediment exchange with the bed ( $E_{Gb}$ ), and energy dissipation due to bed resistance ( $E_R$ ) and fluctuation motions ( $E_D$ ) with the subscripts  $f$  and  $s$  denoting the liquid and solid phases, respectively. (b) Evolution of energy changes of the solid-liquid mixture ( $\Delta E$ ), solid phase ( $\Delta E_s$ ), and liquid phase ( $\Delta E_f$ ), and the work done by inter-phase ( $E_{fs}$

and  $E_{sf}$ ) and inter-grain size interaction forces ( $E_{ss}$ ).

The role of grains in debris flow energetics is size-dependent (Figures 7 and 8). During the initial stage, the liquid phase releases energy to grains of all sizes as  $E_{fsk}$  increases; and fine grains release energy to coarse grains as  $E_{ssk}$  decreases for fine grains (Figures 7a-b and Figures 8a-b) and increases for coarse grains (Figures 7c-d and Figures 8c-d). Besides,  $E_{fsk} + E_{ssk}$  of fine grains decreases, whereas that of coarse grains increases, indicating that fine grains release energy while coarse grains absorb energy. Physically, this process lubricates the grains, especially coarse grains, and facilitates the initiation and acceleration of debris flow, as evidenced by an increase in kinetic energy (Figure 5b and Figure 6b). Subsequently, reverse energy transfer is exhibited as the grains release energy to the liquid, and coarse grains transfer energy to fine grains, sustaining the debris flow until it stops, during which time the bulk kinetic energy decreases (Figure 5b and Figure 6b). Specifically,  $E_{fsk}$  of all grains and  $E_{ssk}$  of coarse grains decrease (Figures 7c-d and Figures 8c-d), while  $E_{ssk}$  of fine grains increases (Figures 7a-b and Figures 8a-b). Also,  $E_{fsk} + E_{ssk}$  of fine grains increases, while that of coarse grains decreases. Note that the mass gain from bed erosion enhances such processes because the magnitudes of  $E_{fsk}$ ,  $E_{ssk}$  and  $E_{fsk} + E_{ssk}$  in the erodible-bed case (Figure 8) are generally larger than their counterparts in the fixed-bed case (Figure 7). Until final stoppage, coarse grains release energy over both fixed and erodible beds because  $E_{fsk} + E_{ssk} < 0$  (Figures 7c-d and Figures 8c-d), whereas fine grains in the erodible-bed case release energy because  $E_{fsk} + E_{ssk} < 0$ , as shown in Figures 8a-b, contrary to fine grains absorbing energy in the fixed-bed case (see Figures 7a-b).

**FIGURE 7** Evolution of energy changes in size-specific grains for fixed-bed Case EXP-F. (a-b) fine grains; (c-d) coarse grains.  $E_{fsk}$  and  $E_{sfk}$  represent work done by the inter-phase interaction force, and  $E_{ssk}$  represents work done by the inter-grain size interaction force.

**FIGURE 8** Evolution of energy changes in size-specific grains for erodible-bed Case EXP-E. (a-b) fine grains; (c-d) coarse grains.  $E_{fsk}$  and  $E_{sfk}$  represent work done by the inter-phase interaction force, and  $E_{ssk}$  represents work done by the inter-grain size interaction force.

### 3.3 Grain-energy release as a function of initial volume

We now evaluate the grain-energy release for all the numerical cases (Table S3-S5). The non-dimensional grain-energy release is defined as  $\Delta\hat{E}_s = \text{abs}(\Delta E_s) / \text{abs}(E_{ref})$ , where  $E_{ref}$  is the grain-energy release in Case EXP-F. The dependence of non-dimensional grain-energy release  $\Delta\hat{E}_s$  on initial volume is illustrated for the two ramps in Figure 9 and Figure S6. Similar to debris flow mobility (Figure 4 and Figure S5), grain-energy release increases with initial debris flow volume and ramp length, and is enhanced by mass gain from bed erosion, water content, and grain-size heterogeneity. Furthermore, the steeper ramp usually leads to elevated grain-energy release (comparing Figure 9 to Figure S6).

**FIGURE 9** Dependence of non-dimensional grain-energy release  $\Delta\hat{E}_s$  on non-dimensional initial debris flow volume  $\hat{V}_0$  over a 31° sloping ramp.

### 3.4 Debris flow mobility correlated with grain-energy release

We now delve into the relationship between debris flow mobility and grain-energy release at final stoppage. Interestingly, the mobility of debris flow correlates closely with grain-energy release in terms of both efficiency  $e$  (Figure 10a) and run-out distance  $\hat{L}$  (Figure 10b).

As shown in Figure 10a, when the initial volume is very small, the efficiency is determined solely by slope angle, i.e.,  $e = \cot \theta$ . For intermediate initial volumes, the efficiency is jointly determined by initial volume and ramp length; therefore, it follows different relations with non-dimensional grain-energy release, depending on ramp length, but independent of mass gain from bed erosion, water content, grain-size heterogeneity, and ramp slope angle. If the initial volume is sufficiently large, its effect on efficiency reigns over the ramp, rendering a collapse of the data from both laboratory- and field-scale cases onto a single curve. Therefore, the non-dimensional grain-energy release, which incorporates the effects of initial volume and topography, is more suitable than initial volume alone for characterizing the mobility of debris flow. This proposition is further reinforced by the universal relation between run-out distance and grain energy release ( $\hat{L} \sim \Delta\hat{E}_s$ ) shown in Figure 10b, regardless of ramp length, slope angle, initial volume, water content, bed erosion, and grain-size heterogeneity.

Given the above observations, we propose that grain-energy release governs debris flow mobility, therefore facilitating a bridge between debris flow mobility and internal

energy transfer. It is well recognized that experimental observation of grain-energy release of debris flow is much more challenging than that of the initial volume. This is perhaps why debris flow energetics have rarely, if ever, been related to debris flow mobility. Therefore, this topic invites future investigation as driven from the present findings. Indeed, it is quite common that computational science leads to new theories and inspires new experiments, or suggests important variables to be investigated in laboratory tests.

**FIGURE 10** Debris flow mobility versus grain-energy release. (a) Dependence of efficiency  $e$  on non-dimensional grain-energy release  $\Delta\hat{E}_s$ ; (b) Dependence of non-dimensional run-out distance  $\hat{L}$  on non-dimensional grain-energy release  $\Delta\hat{E}_s$ .

## 4 DISCUSSION

### 4.1 Inter-phase energy transfer

The results in Section 3.2 lead us to propose an energy transfer pattern between liquid, fine grains, and coarse grains in debris flow (Figure 11). During the initial stage of a mass-release debris flow, the liquid phase transfers energy to the grains, and fine grains release energy to coarse grains. Later, the grains release energy to the liquid, and coarse grains release energy to fine grains, thus sustaining the debris flow until final stoppage. Up to final stoppage, the coarse grains release energy ( $E_{fsk} + E_{ssk} < 0$ ), whilst the fine grains either absorb ( $E_{fsk} + E_{ssk} > 0$ ) or release ( $E_{fsk} + E_{ssk} < 0$ ) energy, depending on bed erosion (Figures 7 and 8); and concurrently, among those grains releasing energy, the larger the grain size, the higher the grain energy release, and this grain-size dependence can be modified by initial volume, water content,

grain-size heterogeneity, and bed erosion (Figure S7). The energy transfer pattern appears to underpin previous experimental findings (Iverson, 1997; Johnson et al., 2012; de Haas et al., 2015; Kaitna et al., 2016) that interactions between fine and coarse grains can increase debris flow mobility.

**FIGURE 11** Energy transfer between liquid, fine grains, and coarse grains in debris flow.

## **4.2 Implications**

Our finding that grain-energy release governs high mobility of debris flow provides insight into the fundamental mechanisms of debris flows due to solid-liquid mass release. In particular, initial volume, as a univariate variable, is inadequate for characterizing debris flow mobility. The grain-energy release appears to be more suitable. Furthermore, a friction-reduction mechanism (e.g., Legros, 2002; Iverson et al., 2011; Lube et al., 2012; Pudasaini & Miller, 2013; Lucas et al., 2014) is not a prerequisite for the high mobility of debris flows. By contrast, inter-phase and inter-grain size interactions play primary roles and so should be explicitly incorporated in debris flow models. This implies that existing quasi single-phase models (e.g., Takahashi et al., 1992; Iverson, 1997; McDougall & Hungr, 2005; Medina et al., 2008; Armanini, 2009; Rosatti & Begnudelli, 2013; Iverson & George, 2014; Lucas et al., 2014; Frank et al., 2015; Cuomo et al., 2016; Xia et al., 2018; Federico & Cesali, 2019; Gregoret et al., 2019), two-phase models that presume a single grain size (e.g.,



Pitman & Le, 2005; Pelanti et al., 2008; Pailha & Pouliquen, 2009; Pudasaini, 2012; Kowalski & McElwaine, 2013; Bouchut et al., 2015), and energy balance-based models (Wang et al., 2010; Bouchut et al., 2015) may need to be enhanced for more accurate resolution of debris flows. Likewise, additional large-scale debris flow experiments using flumes with varied bed topography and observations of natural debris flows over irregular and steep slopes are needed in order to support further model development. Indeed, the present modelling results inevitably bear some degree of uncertainty because empirical closures for inter-grain size interaction, liquid and solid fluctuations, and mass exchange with the bed have tentatively been used. Therefore, this topic invites more systematic fundamental investigation. As multiple physics are involved in the present model, scaling analysis is required to evaluate their relative importance in resolving the mechanisms underlying the high mobility of debris flows due to solid-liquid mass release.

The first of its kind, the present work has implications in future assessments of debris flow hazards and in informing mitigation and adaptation strategies. This is significant and particularly timely, noting the acceleration in glacier melt and increasing trend in extreme precipitation amount, intensity, and frequency (Donat et al., 2013), which are likely to trigger more debris flows. The study also has broad implications for unravelling a spectrum of earth surface processes including heavily sediment-laden floods due to storms and glacier lake outbursts (Laronne & Reid, 1993; Xiao, Young, & Prévost, 2010; Grinsted, Hvidberg, Campos, Dahl-Jensen, 2017; Cook, Andermann, Gimbert, Adhikari, & Hovius, 2018; Hook, 2019), and subaqueous debris flows and

740 turbidity currents in rivers, reservoirs, estuaries, and the ocean (Weirich, 1988; Wright  
741 & Friedrichs, 2006; Talling et al., 2007; Armanini, 2013; Cao, Li, Pender, & Liu, 2015b;  
742 Paull et al., 2018; Stevenson et al., 2018; Li, Cao, & Liu, 2019).

743

## 5 CONCLUSIONS

A recently developed depth-averaged two-phase flow model has been used to investigate debris flow mobility, without evoking reduced friction coefficients. Debris flow mobility computed by the model increases with initial volume and is enhanced by mass gain from bed erosion, water content, and grain-size heterogeneity, echoing previous experimental and field studies. It is found that whilst the energy balance holds and fine and coarse grains play distinct roles in debris flow energetics, the grains as a whole release energy to the liquid due to inter-phase and inter-grain size interactions, and the grain-energy release correlates closely with debris flow mobility. This leads us to propose that the mobility of debris flow due to solid-liquid mass release is governed by grain-energy release, thereby facilitating a bridge between debris flow mobility and internal energy transfer.

Grain-energy release appears to be more suitable than initial volume to characterize debris flow mobility. Also, grain-energy release characterizes the interactions between liquid and solid grains and between different-sized grains, which play primary roles in debris flow dynamics. In light of the present finding from physically-based numerical modelling, the quest for a friction-reduction mechanism may not be viable, which concurs with Iverson (2016) who comments that there is insufficient experimental evidence to support the friction-reduction hypotheses. Meanwhile, it is implied that single-phase flow models, two-phase flow models that

presume a single grain size, and energy balance-based models may need to be enhanced for resolving debris flows and hence assessment of such hazards.

Although the closure models are far from perfect, the findings obtained from the present model are qualitatively encouraging and physically meaningful. Indeed, all models for earth surface flows inevitably contain uncertainty arising from empirical closure, which invites systematic fundamental investigation in the future. Further experiments are needed to enhance the understanding of debris flows and to further validate the present findings. Moreover, as multiple physics are involved in the present model, scaling analysis is required to evaluate their relative importance in debris flow dynamics. Extension to two dimensions would be useful for practical applications to natural debris flows.

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## **DATA AVAILABILITY STATEMENT**

The data that support the findings of this study are available from the corresponding author upon reasonable request.

$B_i$	width of the $i$ -th control volume (m)
$C_k$	depth-averaged size-specific volumetric sediment concentration (-)
$C_f$	depth-averaged volume fraction of the liquid phase (-)
$d_{50}$	particle size at which 50% of the sediments are finer (m)
$e$	debris flow efficiency (-)
$E_D$	energy dissipation due to fluctuation motions (J)
$E_{fs}$	work done by inter-phase interaction force for the solid phase (J)
$E_G$	gravitational potential energy of debris flow (J)
$E_{Gb}$	potential energy due to sediment exchange with the bed (J)
$E_{Gs}, E_{Gf}$	gravitational potential energy of the solid and liquid phases in debris flow (J)
$E_k$	kinetic energy of debris flow (J)
$E_{ks}, E_{kf}$	kinetic energy of the solid and liquid phases in debris flow (J)
$E_R$	energy dissipation due to bed resistance (J)
$E_{sf}$	work done by inter-phase interaction force for the liquid phase (J)
$E_{ss}$	work done by inter-grain size interaction force (J)
$E_{T0}$	initial energy of debris flow (J)
$E_{TK}$	fluctuation kinetic energy of debris flow (J)
$E_{TKs}, E_{TKf}$	fluctuation kinetic energy of the solid and liquid phases debris flow (J)
$F_{fs_k}$	size-specific depth-averaged interphase interaction force for the solid phase ( $\text{kg m}^{-1} \text{s}^{-2}$ )
$F_{sf}$	size-specific depth-averaged interphase interaction force for the liquid phase ( $\text{kg m}^{-1} \text{s}^{-2}$ )
$F_{s-s_k}$	size-specific depth-averaged inter-grain size interaction drag force ( $\text{kg m}^{-1} \text{s}^{-2}$ )

$f, s, m$	subscript denoting the liquid phase, solid phase, mixture (-)
$g$	gravitational acceleration ( $\text{ms}^{-2}$ )
$H_i$	vertical distance between the mass center of debris flow of the $i$ -th control volume and the datum level (m)
$H_{bi}$	vertical distance between the mass center of the $i$ -th control volume for bed deformation and the datum level (m)
$h$	debris flow depth (m)
$h_b$	bed deformation depth (m)
$i$	index denoting the control volume (-)
$k$	subscript denoting the $k$ -th sediment size
$L$	run-out distance of debris flow (m)
$L_{ref}$	run-out distance of debris flow of a reference case (m)
$\hat{L}$	non-dimensional run-out distance of debris flow
$p$	porosity of bed sediments (-)
$TK_{sk}$	size-specific depth-averaged fluctuation kinetic energy of the solid phase ( $\text{m}^2 \text{s}^{-3}$ )
$TK_f$	depth-averaged fluctuation kinetic energy of the liquid phase ( $\text{m}^2 \text{s}^{-3}$ )
$t$	time (s)
$U_f$	depth-averaged velocity of the liquid phase in the $x$ -direction ( $\text{m s}^{-1}$ )
$U_{sk}$	size-specific depth-averaged velocity of the solid phase in the $x$ -direction ( $\text{m s}^{-1}$ )
$\bar{V}_0$	initial volume per unit width ( $\text{m}^2$ )
$\hat{V}_0$	non-dimensional initial volume
$V_b$	volume of bed sediments ( $\text{m}^3$ )
$\bar{V}_{ref}$	initial volume per unit width of a reference case
$x$	streamwise coordinate (m)
$x_d$	distance from the mass release point along the channel to the point where the flow reaches the horizontal reference datum (m)

$z_b$	bed elevation (m)
$\Delta E_f$	energy change of the liquid phase in debris flow (J)
$\Delta E_s$	energy change of the solid phase in debris flow (J)
$\hat{\Delta E}_s$	non-dimensional grain-energy release (-)
$\Delta E_{sk}$	energy change of size-specific grains (J)
$\Delta t$	time step (s)
$\Delta x$	spatial step (m)
$\varepsilon_f$	depth-averaged dissipation rate of liquid fluctuation kinetic energy ( $\text{m}^2 \text{s}^{-3}$ )
$\varepsilon_{sk}$	Size-specific depth-averaged dissipation rate of solid fluctuation kinetic energy ( $\text{m}^2 \text{s}^{-3}$ )
$\theta$	angle of bed slope (-)
$\theta_f$	water content of bed sediments (-)
$\sigma$	standard deviation of sediment composition (-)
$\rho_f, \rho_s$	densities of the liquid and solid phases ( $\text{kg m}^{-3}$ )
$\tau_{skb}, \tau_{fb}$	bed shear stresses for the solid and liquid phases respectively ( $\text{kg m}^{-1} \text{s}^{-2}$ )

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**FIGURE 1** Flume geometry for USGS debris flow experiments [from Iverson et al. (2011)].

**FIGURE 2** Flume geometry used in (a) laboratory-scale numerical case studies (adapted from Iverson et al., 2011); (b) field-scale numerical case studies. The topography has an upstream ramp of uniform inclination angle  $\theta$ , length  $L_0$  and height  $H_0$ , followed by a horizontal runout pad at the downstream end.

**FIGURE 3** Sketch of control volume used for energy calculation.  $H_i$  is vertical distance between the mass center of debris flow of the  $i$ -th control volume and the datum level, and is accordingly defined by Eq. (3).

**FIGURE 4.** Dependence of debris flow mobility on initial volume over a  $31^\circ$  sloping ramp. (a) Debris flow efficiency  $e$  against non-dimensional initial volume  $\hat{V}_0$ . Solid, dotted and dashed lines respectively present the empirical results for laboratory-scale, intermediate and large field-scale cases. (b) Non-dimensional debris flow run-out distance  $\hat{L}$  against non-dimensional initial volume  $\hat{V}_0$ .

**FIGURE 5** Evolution of energy components and energy changes of USGS experimental fixed-bed debris flows Case EXP-F (Iverson et al., 2011). (a) Evolution of energy components, including kinetic energy ( $E_K$ ), fluctuation kinetic energy ( $E_{TK}$ ), gravitational potential energy ( $E_G$ ), and energy dissipation due to bed resistance ( $E_R$ ) and fluctuation motions ( $E_D$ ) with the subscripts  $f$  and  $s$  denoting the liquid and solid phases, respectively. (b) Evolution of energy changes of the solid-liquid mixture ( $\Delta E$ ), solid phase ( $\Delta E_s$ ), and liquid phase ( $\Delta E_f$ ), and the work done by inter-phase ( $E_{fs}$  and  $E_{sf}$ ) and inter-grain size interaction forces ( $E_{ss}$ ).

**FIGURE 6** Evolution of energy components and energy changes of USGS experimental erodible-bed debris flows Case EXP-E (Iverson et al., 2011). (a) Evolution of energy components, including kinetic energy ( $E_K$ ), fluctuation kinetic

energy ( $E_{TK}$ ), gravitational potential energy ( $E_G$ ), potential energy due to sediment exchange with the bed ( $E_{Gb}$ ), and energy dissipation due to bed resistance ( $E_R$ ) and fluctuation motions ( $E_D$ ) with the subscripts  $f$  and  $s$  denoting the liquid and solid phases, respectively. (b) Evolution of energy changes of the solid-liquid mixture ( $\Delta E$ ), solid phase ( $\Delta E_s$ ), and liquid phase ( $\Delta E_f$ ), and the work done by inter-phase ( $E_{fs}$  and  $E_{sf}$ ) and inter-grain size interaction forces ( $E_{ss}$ ).

**FIGURE 7** Evolution of energy changes in size-specific grains for fixed-bed Case EXP-F. (a-b) fine grains; (c-d) coarse grains.  $E_{fsk}$  and  $E_{sfk}$  represent work done by the inter-phase interaction force, and  $E_{ssk}$  represents work done by the inter-grain size interaction force.

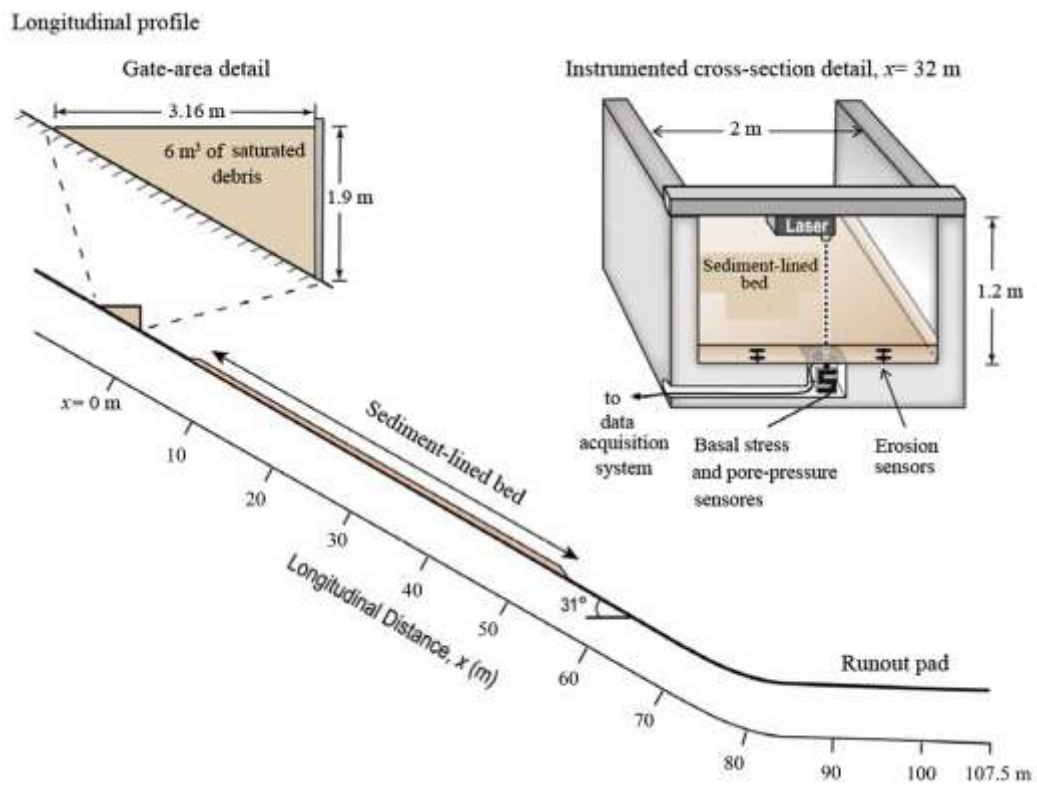
**FIGURE 8** Evolution of energy changes in size-specific grains for erodible-bed Case EXP-E. (a-b) fine grains; (c-d) coarse grains.  $E_{fsk}$  and  $E_{sfk}$  represent work done by the inter-phase interaction force, and  $E_{ssk}$  represents work done by the inter-grain size interaction force.

**FIGURE 9** Dependence of non-dimensional grain-energy release  $\Delta \hat{E}_s$  on non-dimensional initial debris flow volume  $\hat{V}_0$  over a  $31^\circ$  sloping ramp.

**FIGURE 10** Debris flow mobility versus grain-energy release. (a) Dependence of efficiency  $e$  on non-dimensional grain-energy release  $\Delta \hat{E}_s$ ; (b) Dependence of non-dimensional run-out distance  $\hat{L}$  on non-dimensional grain-energy release  $\Delta \hat{E}_s$ .

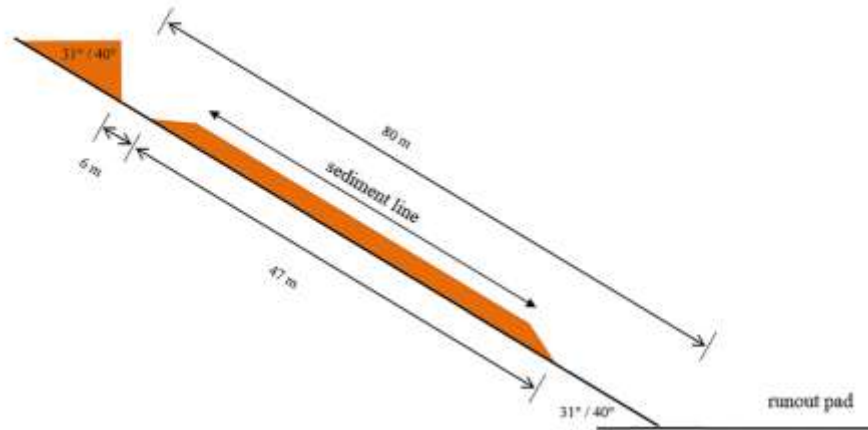
**FIGURE 11** Energy transfer between liquid, fine grains, and coarse grains in debris flow.

## FIGURES

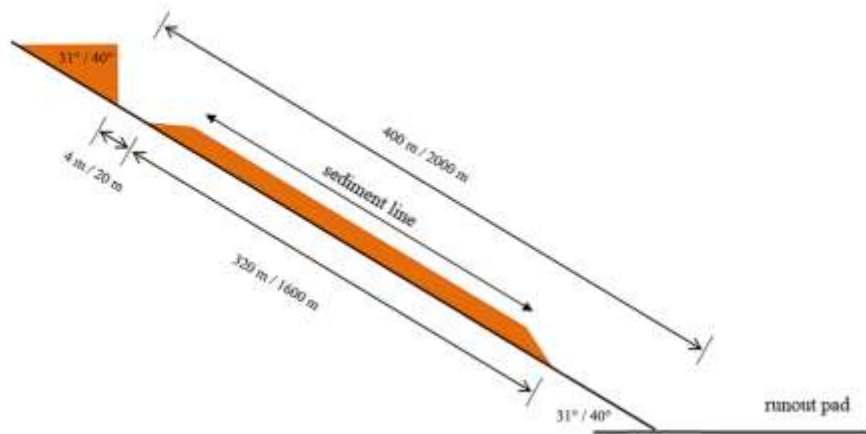


**FIGURE 1** Flume geometry for USGS debris flow experiments [from Iverson et al. (2011)].

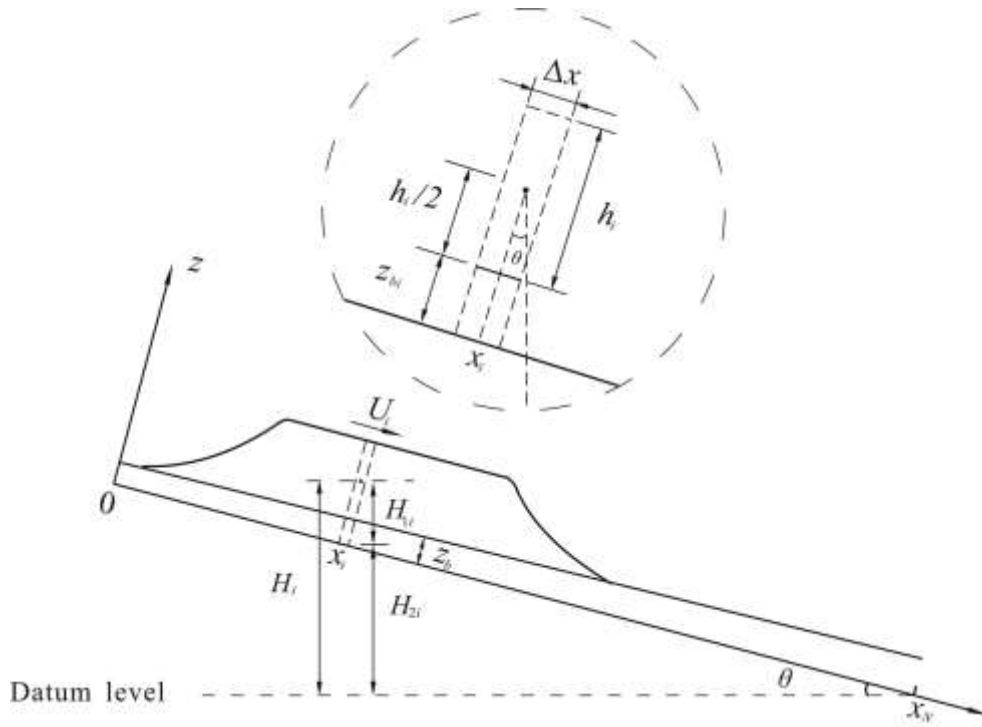
(a) Laboratory-scale flume geometry



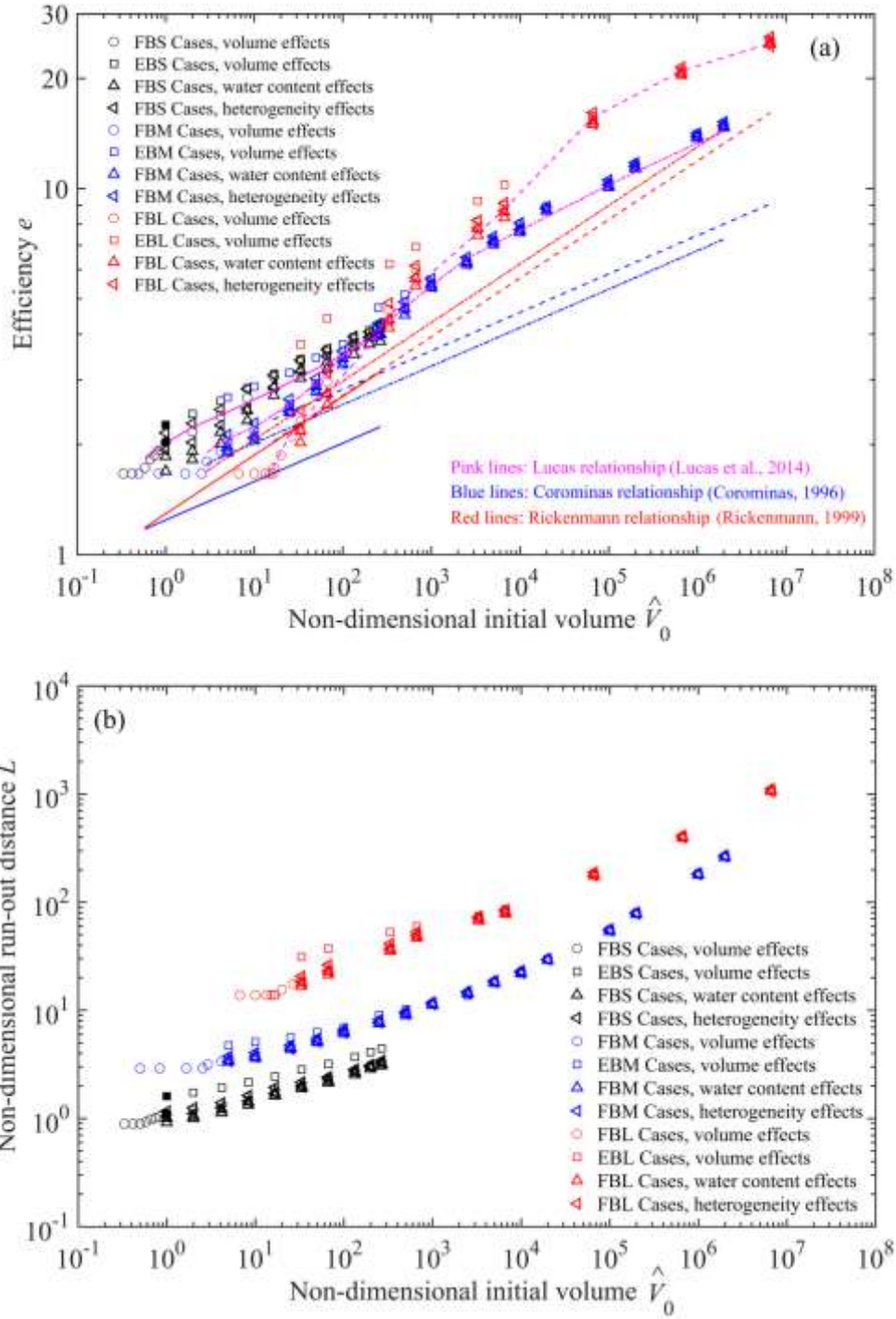
(b) Field-scale flume geometry



**FIGURE 2** Flume geometry used in (a) laboratory-scale numerical case studies (adapted from Iverson et al., 2011); (b) field-scale numerical case studies. The topography has an upstream ramp of uniform inclination angle  $\theta$ , length  $L_0$  and height  $H_0$ , followed by a horizontal runout pad at the downstream end.

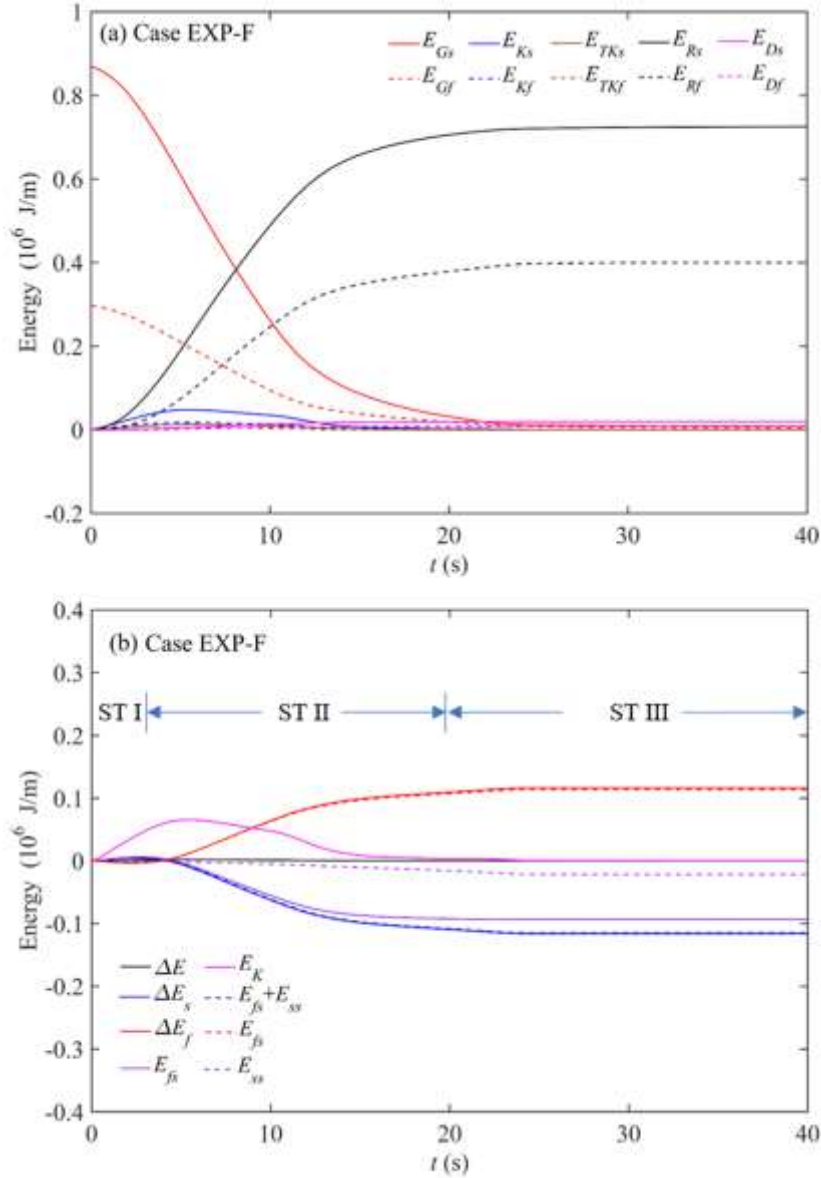


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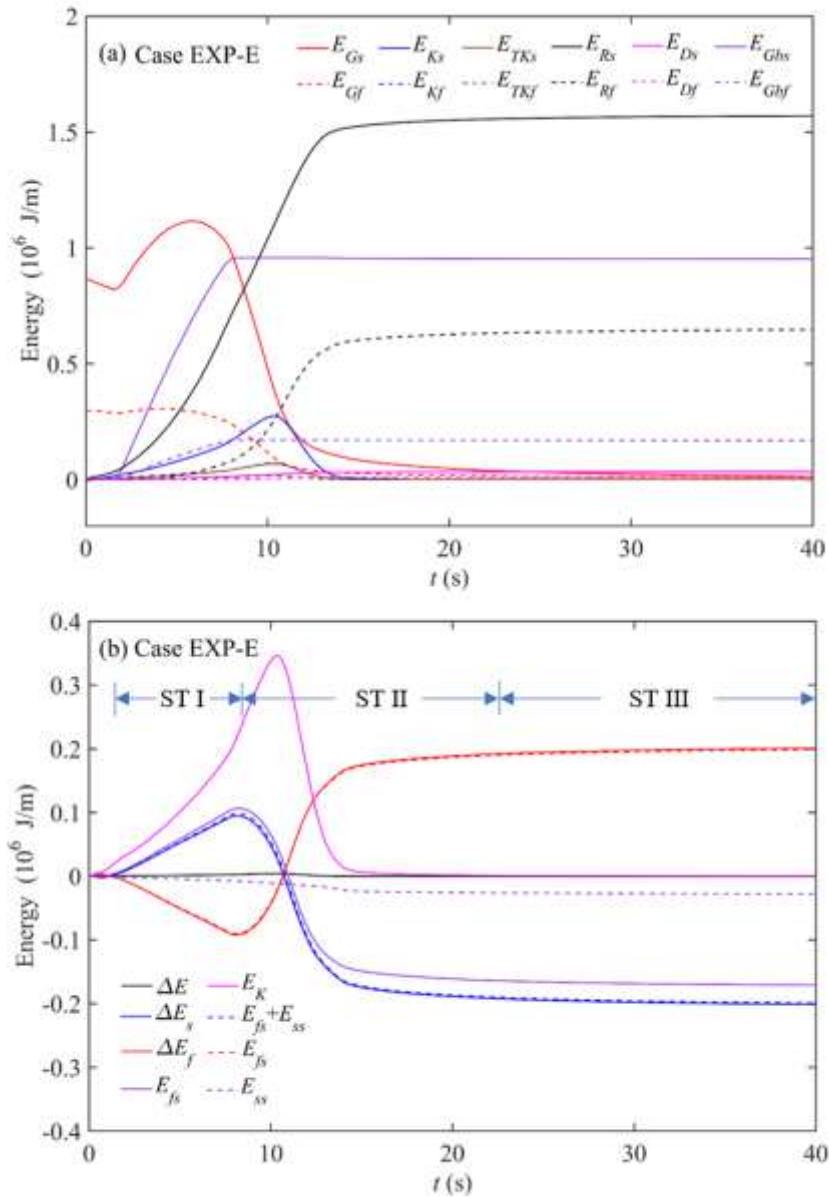


**FIGURE 4.** Dependence of debris flow mobility on initial volume over a 31° sloping ramp. (a) Debris flow efficiency  $e$  against non-dimensional initial volume  $\hat{V}_0$ . Solid, dotted and dashed lines respectively denote empirical results for laboratory-scale, intermediate and large field-scale cases. (b) Non-dimensional debris flow run-out distance  $\hat{L}$  against non-dimensional initial volume  $\hat{V}_0$ .

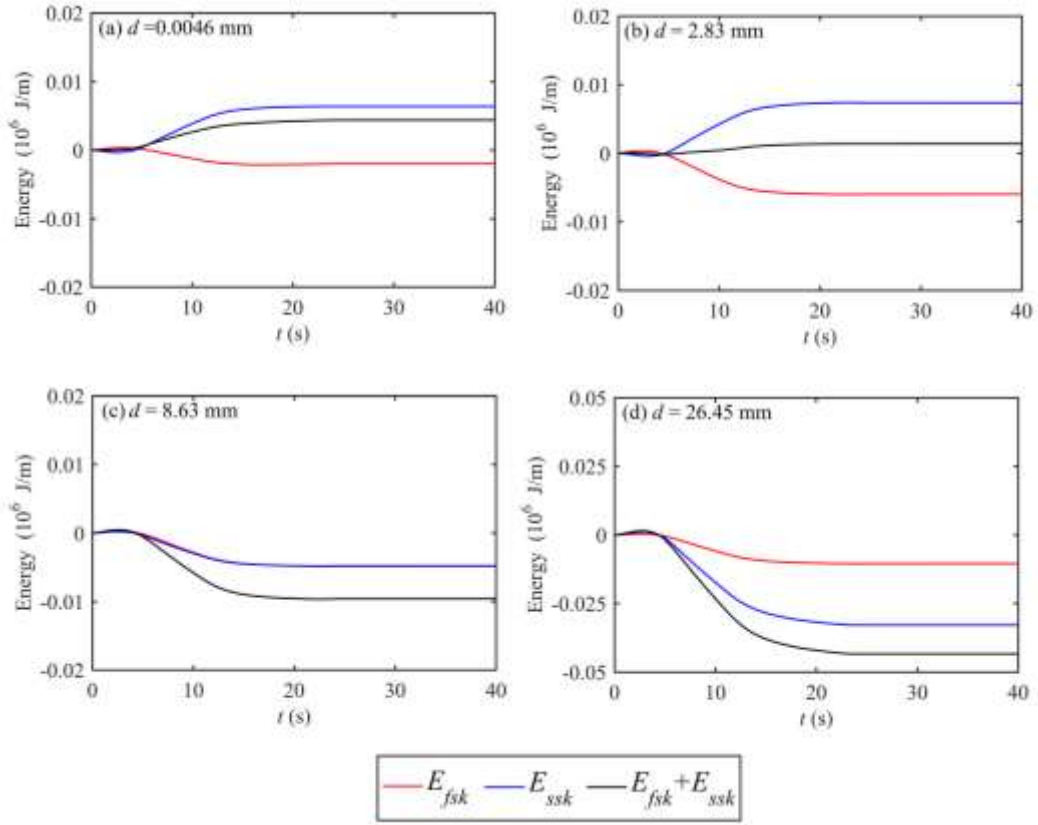




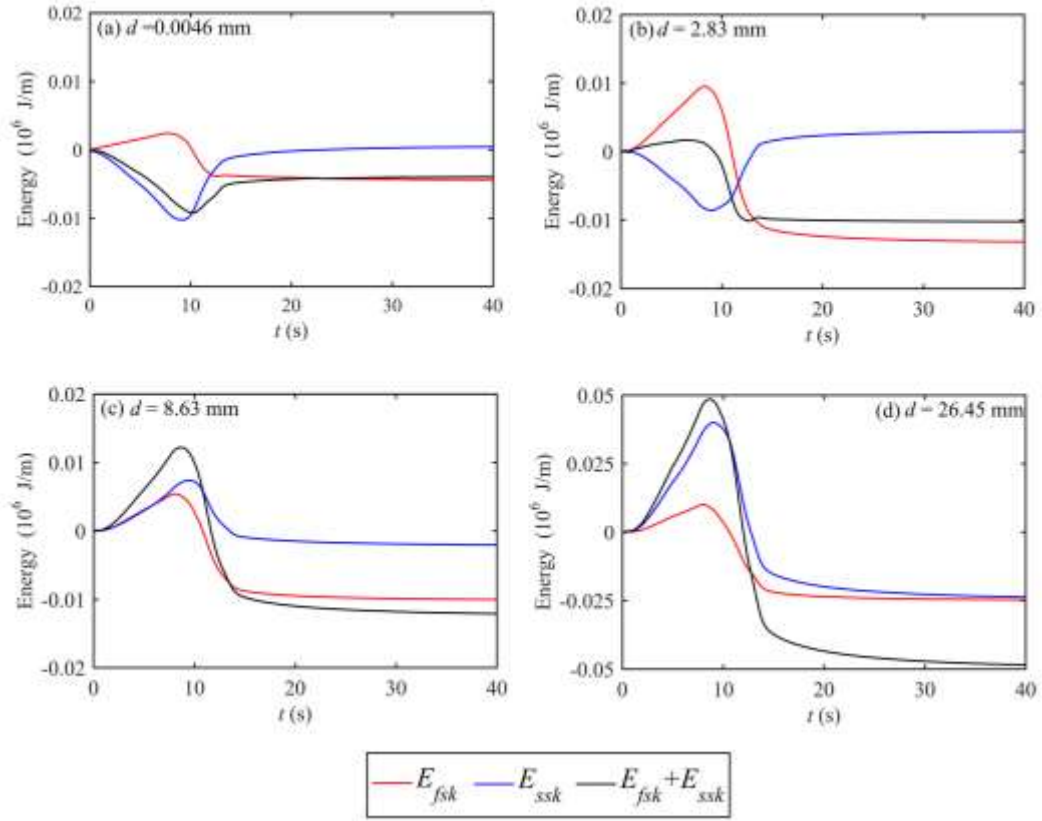
**FIGURE 5** Evolution of energy components and energy changes of USGS experimental fixed-bed debris flows Case EXP-F (Iverson et al., 2011). (a) Evolution of energy components, including kinetic energy ( $E_K$ ), fluctuation kinetic energy ( $E_{TK}$ ), gravitational potential energy ( $E_G$ ), and energy dissipation due to bed resistance ( $E_R$ ) and fluctuation motions ( $E_D$ ) with the subscripts  $f$  and  $s$  denoting the liquid and solid phases, respectively. (b) Evolution of energy changes of the solid-liquid mixture ( $\Delta E$ ), solid phase ( $\Delta E_s$ ), and liquid phase ( $\Delta E_f$ ), and the work done by inter-phase ( $E_{fs}$  and  $E_{sf}$ ) and inter-grain size interaction forces ( $E_{ss}$ ).



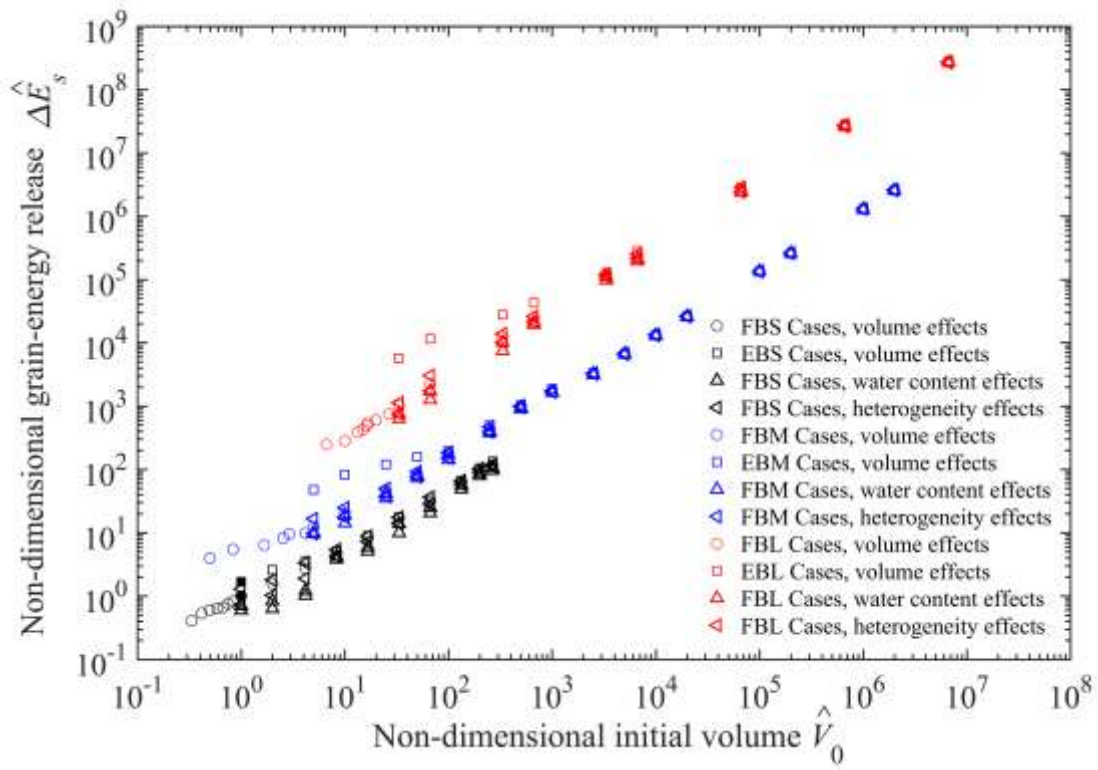
**FIGURE 6** Evolution of energy components and energy changes of USGS experimental erodible-bed debris flows Case EXP-E (Iverson et al., 2011). (a) Evolution of energy components, including kinetic energy ( $E_K$ ), fluctuation kinetic energy ( $E_{TK}$ ), gravitational potential energy ( $E_G$ ), potential energy due to sediment exchange with the bed ( $E_{Gb}$ ), and energy dissipation due to bed resistance ( $E_R$ ) and fluctuation motions ( $E_D$ ) with the subscripts  $f$  and  $s$  denoting the liquid and solid phases, respectively. (b) Evolution of energy changes of the solid-liquid mixture ( $\Delta E$ ), solid phase ( $\Delta E_s$ ), and liquid phase ( $\Delta E_f$ ), and the work done by inter-phase ( $E_{fs}$  and  $E_{sf}$ ) and inter-grain size interaction forces ( $E_{ss}$ ).



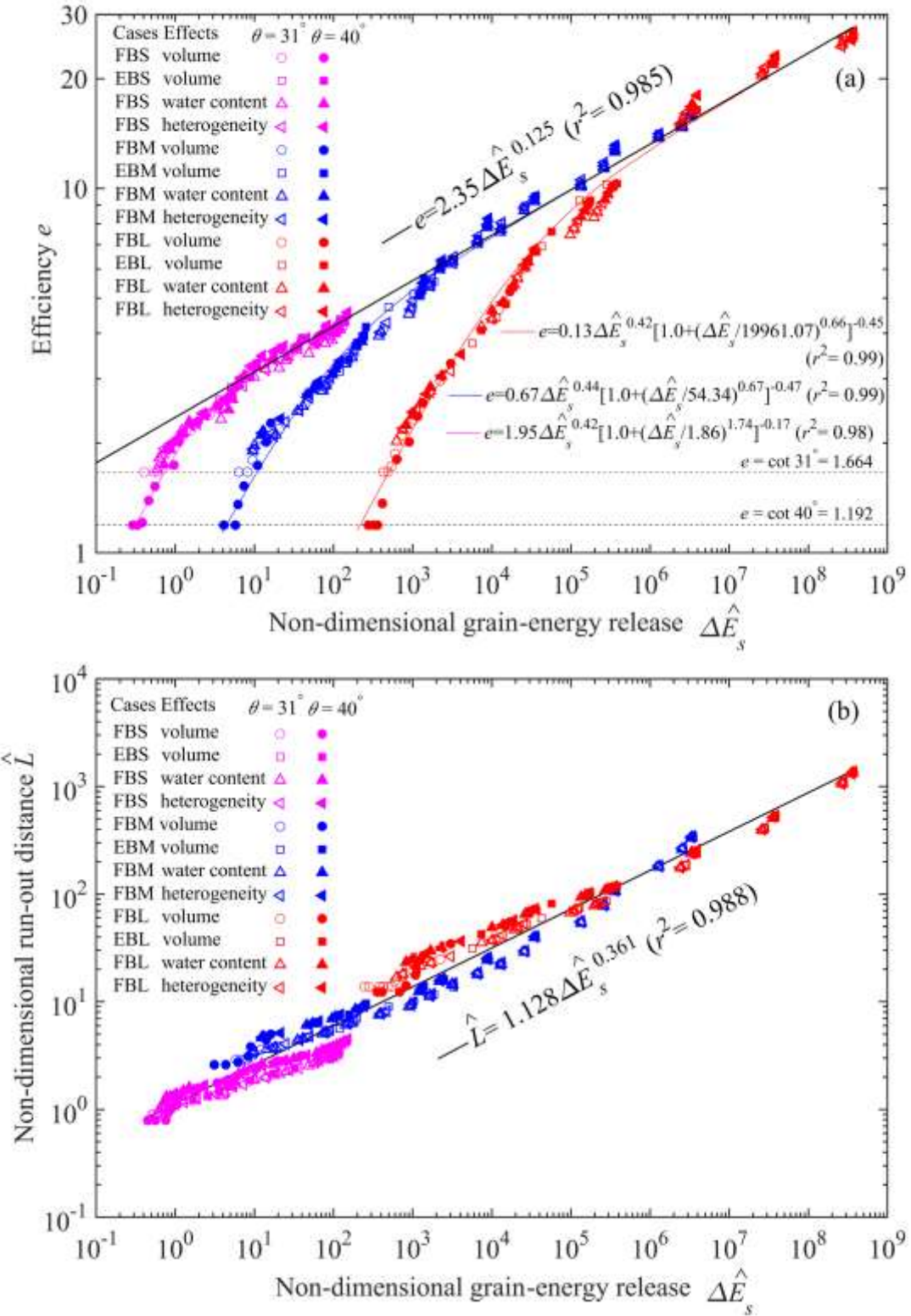
**FIGURE 7** Evolution of energy changes in size-specific grains for fixed-bed Case EXP-F. (a-b) fine grains; (c-d) coarse grains.  $E_{fsk}$  and  $E_{ssk}$  represent work done by the inter-phase interaction force, and  $E_{fsk} + E_{ssk}$  represents work done by the inter-grain size interaction force.



**FIGURE 8** Evolution of energy changes in size-specific grains for erodible-bed Case EXP-E. (a-b) fine grains; (c-d) coarse grains.  $E_{fsk}$  and  $E_{ssk}$  represent work done by the inter-phase interaction force, and  $E_{fsk} + E_{ssk}$  represents work done by the inter-grain size interaction force.

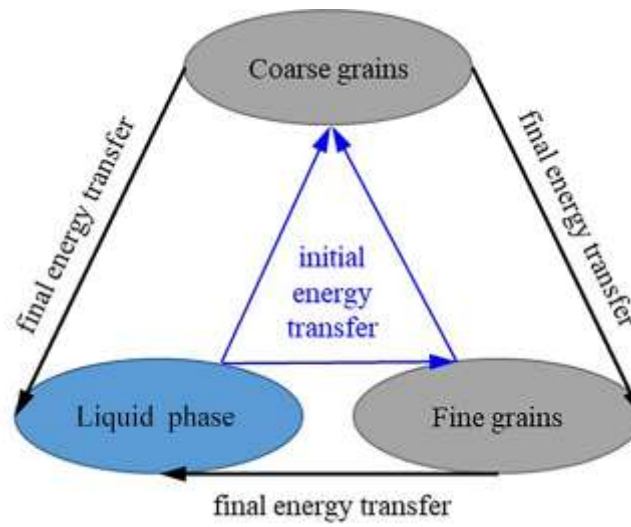


**FIGURE 9** Dependence of non-dimensional grain-energy release  $\Delta \hat{E}_s$  on non-dimensional initial debris flow volume  $\hat{V}_0$  over a 31° sloping ramp.



**FIGURE 10** Debris flow mobility versus grain-energy release. (a) Dependence of efficiency  $e$  on non-dimensional grain-energy release  $\Delta \hat{E}_s$ ; (b) Dependence of non-dimensional run-out distance  $\hat{L}$  on non-dimensional grain-energy release  $\Delta \hat{E}_s$ .

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**FIGURE 11** Energy transfer between liquid, fine grains, and coarse grains in debris flow.